Approved for use through 7/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO PCT/US2004/009973 **Application Number** INFORMATION DISCLOSURE Filing Date April 1, 2004 First Named Inventor Godschalx et al STATEMENT BY APPLICANT Art Unit Not Assigned (Use as many sheets as necessary) **Examiner Name** Not Assigned Attorney Docket Number 62527A

Sheet 1

of 3

Examiner Initials*	Cite No. ¹	Document Number	Document Number Publication Date (MM-DD-YYYY) Number-Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Unes, Where Relevan Passages or Relevant Figures Appear
		Number-Kind Code ² (it known)		Cited Document	
7S.W./		US-5965679	10-12-1999	Godschalx et al.	
		US-6156812	12-05-2000	Lau et al.	
Λ		US-6172128	01-09-2001	Lau et al.	
/S.W./		US-6359091	03-19-2002	Godschalx et al.	
/ 		US-			
		US-		·	
		US-			
		US-			
		US•			
		US-			
		US-			
	-	US-			
		US-			

Examiner	/Sikarl Witherspoon/	Date	05/29/2007	
I Signature	/ Olivan tituloropooniii	I Considered	00/20/2001	

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.oog or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (MIPO Standard ST.3). 4 Form Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.18 if possible. Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form end/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P. O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patenta, P. O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2

Approved for use through 7/31/2008. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO	Complete if Known			
	Application Number	PCT/US2004/009973		
INFORMATION DISCLOSURE	Filing Date	April 1, 2004		
STATEMENT BY APPLICANT	First Names Inventor	Godschalx et al.		
(Use as many sheets as necessary)	Art Unit	Not Assigned		
1030 as many alcole as necessary	Examiner Name	Not Assigned		
Sheet 2 of 3	Attorney Docket Number	62527A		

Examiner	Cite	Document Number	Publication	Name of Patentee or Applicant	Pages, Columns, Lines, Where	T6
Initials*	No.1	Country Code ³ – Number-Kind Code ⁵ (if known)	Date (MM-DD- YYYY)	of Cited Document	Relevant Passages or Relevant Figures Appear	
7S.W.7		WO00/31183	06-02-2000	Bruza et al.		
/S.W./		WO03/068825	08-21-2003	Niu et al.		TE
						TL
						\prod
						Щ
						╁ <u>┞</u>
						╨
						4
						4
						4
					 	<u> </u>
						#
						4
						┵

Examiner Signature	/Sikarl Witherspoon/	Date Considered	05/29/2007

Examiner: tritial if reference considered, whether or not citation is in conformance with MPEP609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴Form Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶Vind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible, 6 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P. O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patente, P. O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-788-9199) and select option 2

Approved for use through 7/31/2006. OMB 0651-0031

Complete if Known

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

INFOR	MAT	ION DISCLOSURE STATEMENT	Application Number	r	PCT/US2004/009973	
INFOR			Filing Date		April 1, 2004	
		BY APPLICANT	First Named Inven		Godschalx et al.	
	(U	Jse as many sheets as necessary)	Art Unit		Not Assigned	
			Examiner Name		Not Assigned	
Sheet 3		62527A 3	Attorney Docket N	umber	62527A	
Examiner	Cite	Include name of the author (in CAPITAL LETTE	LITERATURE DO	le (when appropr	iate), title of the item (book, magazine.	т6
Initials*	No.1	journal, serial, symposium, catalog, etc.), date, pa	ige(s), volume-issue	number(s), publis	her, city and/or country where published.	
/s.w./		J. Org. Chem. vol. 62, no. 11, 1997, pages 3430-34 surrounding text.		8c, 8d, 8d' in the	left-hand column on p. 3431 and	
/S.W./		J. Org. Chem. vol. 62, 1997, pages 3432-3433, Co.	mpounds 7a, 7b			
		·				
	1					
			, , , , , , , , , , , , , , , , , , , ,			
Examiner	<u> </u>	/Sikarl Witherspoon/		Date	05/29/2007	

/Sikarl Witherspoon/

Signature

This collection of information is required 37 CFR 1.88. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P. O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Considered

Examiner; Initial if reference considered, whether or not clistion is in conformation with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (option). ²Applicant is to place a check mark here in English language Translation is attached.